



NEW CENTURY
PORTFOLIOS

Account Application

1. Registration Complete A, B or C below. (PLEASE PRINT).

A. Individual or Joint Account*

First Name Middle Initial Last Name Social Security Number Date Of Birth

First Name Middle Initial Last Name Social Security Number Date Of Birth

*Registration will be Joint Tenancy with Rights of Survivorship, unless otherwise specified.

B. Gift to Minors

Name of Custodian Social Security Number Date of Birth

As Custodian For (name of minor) Social Security Number Date of Birth

Under the _____ UGMA/UTMA
Minor State of Residence

C. Corporations, Partnerships, Trusts and Others**

**Complete Corporate Resolution attached, if applicable.

Name of Legal Entity Taxpayer Identification Number

Name of Fiduciary Fiduciary Tax Identification Number

Fiduciary Date of Birth Date of Trust (month, day, year)

2. Contact Information

Although a post office box may be used as the mailing address for the account, a valid street address must be provided for our records.

Street City State Zip Code

(_____) _____
Daytime Telephone

If you have an account in another New Century Portfolio that is registered under the same name as above,
please list the account number here: _____

Email Address: _____

NEW CENTURY PORTFOLIOS - CAPITAL • BALANCED • OPPORTUNISTIC • INTERNATIONAL • ALTERNATIVE STRATEGIES • MONEY MARKET

7. Systematic Withdrawal Plan

Systematic Withdrawal Plans are available on accounts with a current market value of \$10,000 or more, and must have dividends reinvested. Please complete a separate form; withdrawals are made on the 25th of each month.

8. Automatic Investment Plan

Automatic Investment Plans are available for investments of \$50 or more with a minimum initial investment of \$500. Please complete a separate form; investments are made on the 20th of each month.

Important Information from the FINRA Regarding Anti-Money Laundering Requirements

9. The USA PATRIOT Act

The USA PATRIOT Act, signed by President Bush in 2001, is designed to detect, deter, and punish terrorists in the United States and abroad. The Act imposes new anti-money laundering requirements on brokerage firms and financial institutions.

To help you understand these efforts, we want to provide you with some information about money laundering and our steps to implement the USA PATRIOT Act.

What is money laundering?

Money laundering is the process of disguising illegally obtained money so that the funds appear to come from legitimate sources or activities. Money laundering occurs in connection with a wide variety of crimes, including illegal arms sales, drug trafficking, robbery, fraud, racketeering, and terrorism.

How big is the problem and why is it important?

The use of the U.S. financial system by criminals to facilitate terrorism or other crimes could well taint our financial markets. According to the U.S. State Department, one recent estimate puts the amount of worldwide money laundering activity at \$1 trillion a year.

What are we required to do to eliminate money laundering?

Under new rules required by the USA PATRIOT Act, our anti-money laundering program must designate a special compliance officer, set up employee training, conduct independent audits, and establish policies and procedures to detect and report suspicious transactions and ensure compliance with the new laws.

As part of our required program, we will ask you to provide various identification documents or other information. Until you provide the information or documents we need, we may not be able to open an account or effect any transactions for you.

We thank you for your patience and support.

I certify that I have read and understand the USA PATRIOT Act disclosure.

10. Consent to Electronic Access To Documents (optional)

New Century Portfolios offers access to Quarterly Fund Fact Sheets, Prospectuses, Proxies, Semi-Annual and Annual Reports via the Internet. By completing this section, you choose not to receive these documents by regular mail.

You will continue to receive your account statements, confirmations, and tax reporting documents by regular mail.

Consent:

I understand that access to both Internet Email and the Internet is required to access documents electronically. I will receive email notification of the availability of a document in electronic format at the email address below. The notification email will not contain

the actual document. It will contain a web address (or hyperlink) where the document can be found. By entering this address into my web browser, I can view, download, and print the document from my computer.

I understand that electronic documents will be distributed in Adobe's Portable Document Format (PDF). The Adobe Acrobat Reader software is required to view documents in PDF format. The Reader software is available free of charge from Adobe's web site at www.adobe.com. The Reader software must be correctly installed before documents may be viewed in PDF format.

I understand that I must advise you of a change in email address. I also understand that I may receive a paper copy of any documents delivered electronically if I contact New Century by telephone (888) 639-0102, by fax (781) 237-1635, by email (newcentury@westonfinancial.net), or regular mail (New Century Portfolios, 40 William Street, Suite 100, Wellesley, MA 02481).

The documents will be maintained on the web site for a minimum of 12 months from the date of posting. (Specific cancellation dates will be noted on the documents themselves if applicable.)

My consent may be revoked or changed, including any change in electronic mail address to which notifications are delivered, at any time by notifying New Century of such change or revocation.

I understand that I am not required to consent to electronic access.

E-Mail Address _____

Check this box to consent to use of email address provided in Part 2

Signature

Date

I consent to the electronic access to documents in accordance with my instructions above.

11. Signature and Certification to Avoid Backup Withholding

I am of legal age and have full authority to purchase shares in New Century Portfolios. I have received and read the current Prospectus and agree to its terms. I understand that by signing below, I hereby ratify all instructions given on this account. I agree that neither New Century Portfolios nor Ultimus Fund Solutions, LLC nor their affiliates will be liable for any loss, cost or expense for acting upon such instructions (by telephone or writing) believed by it to be genuine and in accordance with the procedures described in the Prospectus. This order is subject to acceptance by the fund.

The following is required by federal tax law to avoid 20% backup withholding:

By signing below, I certify under penalties of perjury that the social security or taxpayer identification number entered above is correct or I am waiting for a number to be issued to me and that I have not been notified by the IRS that I am subject to backup withholding unless I have checked the box.

I have been notified by the IRS that I am subject to backup withholding.

Client Signature

Date

Client Signature

Date

Representative Signature

Date

Principal Signature

Date